



2661#4  
BT

PATENT

12-9-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-873)

In the Application of:

David Willming et al.

Before the Examiner: Not Assigned

Serial No. 10/075,926

Group Art Unit: 2661

Filed: February 14, 2002

For: System and Method for Automatic Digital  
Certificate Installation on a Network Device )  
In a Data-Over-Cable System )

RECEIVED

NOV 20 2002

Technology Center 2600

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:


- a) Supplemental Information Disclosure Statement Letter;
- b) Supplemental Information Disclosure Statement Form PTO-1449;
- c) 3 Cited References; and
- c) Postcard.

2. With respect to fees:

- a) No fee is required.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 12<sup>th</sup> day of November, 2002.

By:

  
Bradley J. Hulbert

Reg. No. 30,130



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-873)

PATENT

In the Application of:	)	
	)	
David Willming et al.	)	Before the Examiner: Not Assigned
	)	
Serial No.	)	
10/075,926	)	Group Art Unit: 2661
	)	
Filed:	)	
February 14, 2002	)	
	)	
For: System and Method for Automatic Digital	)	
Certificate Installation on a Network Device	)	
In a Data-Over-Cable System	)	

Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

NOV 20 2002

Technology Center 2600

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative

importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

#### **CITED REFERENCES**

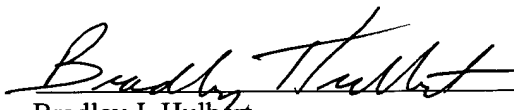
##### **U.S. Patent Documents**

<b>Document Number</b>	<b>Date</b>	<b>Name</b>
5,815,664	09-29-98	Asano
6,032,019	02-29-00	Chen et al.
6,442,158	08-27-02	Beser

Respectfully submitted,

Date: November 12, 2002

By:

  
Bradley J. Hulbert  
Registration No. 30,130

FORM PTO-1449

(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

01-873

Serial No.

10/075,926

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

David Willming et al.

Filing Date:

February 14, 2002

Group:

2661

## U.S. PATENT

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	5,815,664	09-29-98	Asano	395	200.57	03-19-96
	2.	6,032,019	02-29-00	Chen et al.	455	5.1	01-20-99
	3.	6,442,158	08-27-02	Beser	370	352	05-27-98

RECEIVED

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc). NOV 20 2002

		Technology Center 2600
EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.